

TFW Receipt



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PATENT  
Docket No.: 021756-017100US

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

On September 1, 2006

TOWNSEND and TOWNSEND and CREW LLP

By: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Theresa Sherwood et al.

Application No.: 10/040,396

Filed: December 28, 2001

For: SYSTEM AND METHOD FOR  
SEARCHING DATA PARTIALLY  
DISPLAYED ON A USER INTERFACE

Examiner: Barbara N. Burgess

Art Unit: 2157

REQUEST FOR CORRECTED FILING  
RECEIPT

Office of Initial Patent Examination  
Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a redline corrected copy of Updated Filing Receipt for the above-noted application for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error in the Domestic Priority section of the Filing Receipt. The correct Domestic Priority should read as follows **(with corrections noted in bold text)**:

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001  
AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

In support of this priority claim, we have attached the Second Preliminary Amendment that was filed with the U.S. Patent Office on July 8, 2002. The second priority claim (60/316,773, filed 08/31/01) was listed in the application as filed without the serial number because the serial number was unavailable at the time the application was filed. The Second Preliminary Amendment amends the specification to include the serial number in paragraph [0001], line 4 as noted in Exhibit A to the Second Preliminary Amendment. In addition, the serial numbers for related applications have also been updated in paragraph [0002], lines 2, 3, 5, 7, and 9 as illustrated in Exhibit A to the Second Preliminary Amendment.

Applicants respectfully request the Attorney Docket No. and Addressee sections to be updated. Please update the Attorney Docket No. as follows with corrections noted in bold text:

**021756-017100US**

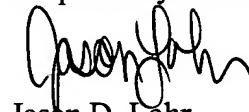
Please correct the Addressee as follows with correction noted in bold text:

**51206**  
**TOWNSEND AND TOWNSEND AND CREW LLP**  
**Two Embarcadero Center**  
**Eighth Floor**  
**San Francisco, CA 94111**

An Application Data Sheet is included with this Response.

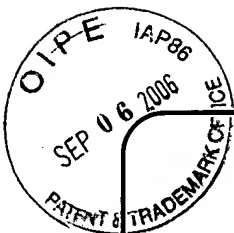
Per current PTO guidelines, there is no charge for correcting the Filing Receipt. Applicants respectfully request that the Filing Receipt be corrected.

Respectfully submitted,



Jason D. Lohr  
Reg. No. 48,163

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200/ Fax: (415) 576-0300  
JDL/km



PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

Application Number

10/040,396

Filing Date

December 28, 2001

First Named Inventor

Theresa Sherwood

Art Unit

2157

Examiner Name

Barbara N. Burgess

Attorney Docket Number

021756-017100US

**ENCLOSURES** (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/ Incomplete Application

☐

Reply to Missing Parts under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a Provisional Application

☐

Power of Attorney, Revocation Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board of Appeals and Interferences

☐

Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify below):

Request for Corrected Filing Receipt; Redline Copy of Updated Filing Receipt, Copy of Second Preliminary Amendment, Application Data Sheet, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Jason D. Lohr

Date

September 1, 2006

Reg. No.

48,163

**CERTIFICATE OF TRANSMISSION/MAILING**

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Signature

Typed or printed name

Krista K. Merrimac

Date

September 1, 2006



## **Application Data Sheet**

### **Application Information**

Application number::	10040396
Filing Date::	12/28/01
Application Type::	Regular
Subject Matter::	Utility
Suggested classification::	
Suggested Group Art Unit::	
CD-ROM or CD-R??::	
Number of CD disks::	
Number of copies of CDs::	
Sequence Submission::	
Computer Readable Form (CRF)?::	
Number of copies of CRF::	
Title::	System and Method for Searching Data Partially Displayed on a User Interface
Attorney Docket Number::	021756-017100US
Request for Early Publication::	No
Request for Non-Publication::	Yes
Suggested Drawing Figure::	
Total Drawing Sheets::	25
Small Entity?::	No
Latin name::	
Variety denomination name::	
Petition included?::	No
Petition Type::	
Licensed US Govt. Agency::	
Contract or Grant Numbers One::	
Secrecy Order in Parent Appl.::	No

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Theresa  
Middle Name::  
Family Name:: Sherwood  
Name Suffix::  
City of Residence:: Walnut Creek  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 1021 Springfield Drive  
City of Mailing Address:: Walnut Creek  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94598

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Laura  
Middle Name:: Steward  
Family Name:: Akel  
Name Suffix::  
City of Residence:: San Francisco  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 2943 Pine Street  
City of Mailing Address:: San Francisco  
State or Province of mailing address:: CA

Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94115

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: David  
Middle Name::  
Family Name:: Wright  
Name Suffix::  
City of Residence:: Dublin  
State or Province of Residence:: CA  
Country of Residence:: US  
Street of Mailing Address:: 8480C North Lake Drive  
City of Mailing Address:: Dublin  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94568

### **Correspondence Information**

Correspondence Customer Number:: 51206

### **Representative Information**

Representative Customer Number:: 51206

### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An Appn claiming benefit under 35 USC 119(e) of	60/273,190	03/02/01
This Application	An Appn claiming	60/316,773	08/31/01

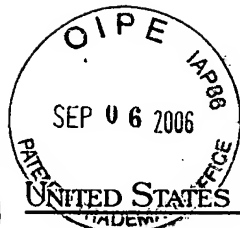
benefit under 35 USC  
119(e) of

**Foreign Priority Information**

Country::                      Application number::              Filing Date::

**Assignee Information**

Assignee Name::                      Oracle International Corporation  
Street of mailing address::              500 Oracle Parkway, Mail Stop 5OP7  
City of mailing address::              Redwood Shores  
State or Province of mailing address::      CA  
Country of mailing address::              US  
Postal or Zip Code of mailing address::      94065



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,396	12/28/2001	2152	1704	<del>PA2003US</del>	25	43	8

021756-0171004S

CONFIRMATION NO. 2075

## UPDATED FILING RECEIPT



\*OC000000008075979\*

~~22830~~

GARR &amp; FERRELL LLP

~~2225 EAST BAYSHORE ROAD~~~~SUITE 200~~~~PALO ALTO, CA 94303~~

51206  
TOWNSEND AND TOWNSEND  
AND CREW LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111

Date Mailed: 05/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Theresa Sherwood, Walnut Creek, CA;  
Laura Steward Akel, San Francisco, CA;  
David Wright, Dublin, CA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/273,190 03/02/2001  
AND CLAIMS BENEFIT OF 60/316,773 08/31/2001

## Foreign Applications

If Required, Foreign Filing License Granted 02/06/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

System and method for searching data partially displayed on a user interface

## Preliminary Class



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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

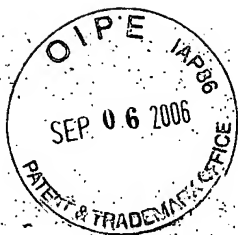
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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



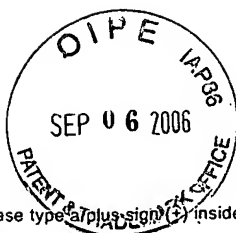
Patent/Serial No.: 10/035,413 Issue/Filing Date: December 28, 2001  
Title: Customization of Immediate Access and Hotkey Functionality in an Internet Application User Interface  
Inventor(s)/Applicant(s): Alan Ballard et al.  
C & F Docket No.: PA2065US Atty/Sec. Initials: SMC/elf  
Date Mailed: July 8, 2002 Delivery Method: First Class Docket Due Date: \_\_\_\_\_

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OFFICE ON THE DATE STAMPED HEREON:

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| <input checked="" type="checkbox"/> Transmittal letter/form <u>PTO/SB/21</u>                         | <input checked="" type="checkbox"/> Certificate of Mailing                                    |
| <input type="checkbox"/> Provisional Application _____ pages + cover                                 | <input type="checkbox"/> Express Mail No. _____   |
| <input type="checkbox"/> Utility Application: _____ pages of specification<br>(w/ claims & abstract) | <input type="checkbox"/> Information Disclosure Statement                                     |
| <input type="checkbox"/> Continuation/Divisional Application   | <input type="checkbox"/> IDS form (PTO/SB/08) (2 copies)                                      |
| <input type="checkbox"/> Design Application  | <input type="checkbox"/> Petition for Extension of Time: _____ months                         |
| <input type="checkbox"/> Drawings: _____ # of sheets incl. _____ FIGs.                               | <input type="checkbox"/> Petition for: _____  |
| <input type="checkbox"/> Declaration & POA   | <input type="checkbox"/> Issue Fee Transmittal (2 copies)                                     |
| <input type="checkbox"/> Fee Transmittal (PTO/SB/17) (2 copies)                                      | <input type="checkbox"/> PCT Application: _____ pgs of specification<br>(w/claims & abstract) |
| <input type="checkbox"/> Check in the amount of \$ _____   | <input type="checkbox"/> PCT Demand _____ pages   |
| <input type="checkbox"/> Assignment & Assignment Cover Sheet   | <input type="checkbox"/> PCT Request _____ pages  |
| <input checked="" type="checkbox"/> Amendment/Response <u>4</u> pages.                               |   |
| <input type="checkbox"/> Other: _____  |   |

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ADDRESSEE.

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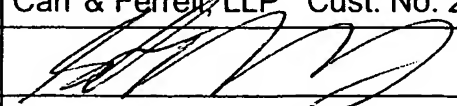
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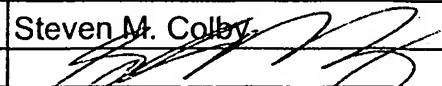
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/040,396	
	<b>Filing Date</b>	December 28, 2001	
	<b>First Named Inventor</b>	Theresa Sherwood	
	<b>Group Art Unit</b>	2152	
	<b>Examiner Name</b>	Unknown	
<b>Total Number of Pages in This Submission</b>	5	<b>Attorney Docket Number</b>	PA2063US

<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Postcard</b>
<b>Remarks</b> Total page number does not include the postcard.		

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
<b>Firm or Individual name</b>	Carr & Ferrell LLP Cust. No. 22830
<b>Signature</b>	 Reg. No. 50,250
<b>Date</b>	July 8, 2002

<b>CERTIFICATE OF MAILING</b>			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <b>July 8, 2002</b>			
<b>Typed or printed name</b>	Steven M. Colby		
<b>Signature</b>		<b>Date</b>	July 8, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Theresa Sherwood, Laura Steward, and David Wright.

SERIAL NO.: 10/040,396

FILING DATE: December 28, 2001

TITLE: System and Method for Searching Data Partially Displayed  
on a User Interface

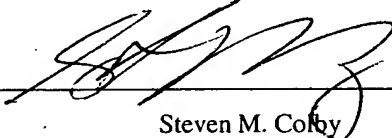
EXAMINER: Unknown

ART UNIT: 2152

ATTY.DKT.NO.: PA2063US

**CERTIFICATE OF MAILING**

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Date: July 8, 2002   
Steven M. Colby

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**2<sup>nd</sup> PRELIMINARY AMENDMENT**

The captioned application is U.S. Serial No. 10/040,396, filed December 28, 2001.

Before action, please amend the captioned application as follows.

## **IN THE CROSS-REFERENCE TO RELATED APPLICATIONS**

Please replace paragraphs 1 and 2 with the following scannable version of paragraphs 1 and 2.

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface."

### REMARKS

The present application is U.S. Patent Application Serial No. 10/040,396. This 2<sup>nd</sup> Preliminary Amendment is being filed prior to receipt of the first Office Action. No new matter has been added by these amendments. Attached as Appendix A is the marked-up version showing the amendment.

The Examiner is respectfully requested to enter this Amendment and to examine the pending claims. The Examiner is respectfully urged to consider the claimed invention at the earliest time possible and issue a favorable action indicating the application is in condition for allowance. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone Applicant's undersigned representative at the number given below.

Respectfully submitted,

Theresa Sherwood et al.

Date: July 8, 2002

By: 

Steven M. Colby, Ph.D.  
Registered Patent Agent  
Reg. No. 50,250  
Carr & Ferrell LLP  
2225 East Bayshore Road, Suite 200  
Palo Alto, CA 94303  
Phone: (650) 812-3424  
Fax: (650) 812-3444

## AMENDMENT A

[0001] This application claims priority from commonly owned U.S. Provisional Patent Application No. 60/273,190 entitled "HTML – Based Applications for Support of Complex Transactions," filed March 2, 2001 and U.S. Provisional Patent Application No. 60/\_\_\_\_\_316,773, entitled "Meta Language Control of Complex Network Applications," filed August 31, 2001. The disclosures of these two provisional patent applications are incorporated herein by reference.

[0002] This application is related to co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/040,397, entitled "Customization of Tab-order Functionality in Internet Applications," co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/041,034, entitled "Customization of Client-Server Interaction in an Internet Application," co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/035,413, entitled "Customization of Immediate Access and HotKey Functionality in an Internet Application User Interface," co-pending U.S. Patent Application No. 10/165,623, entitled "System and Method for Automatic Generation of HTML Based Interfaces Including Alternative Layout Modes," and co-pending U.S. Patent Application No. 60/\_\_\_\_\_10/041,015, entitled "Customization of User Interface Presentation in an Internet Application User Interface."